## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Seழிal No.: 10/629,737

Confirmation No.: 8922

Applicant: Masato YAMADA, et al.

Art Unit: 2821

Filed: July 30, 2003

Examiner: Lewis, Monica

Docket No: SUG-169-USAP

Customer No: 28892

For: Light Emitting Device and Lighting Apparatus Using the Same

## TRANSMITTAL OF PRELIMINARY AMENDMENT

US Patent & Trademark Office 2011 South Clark Place Customer Window, Attention: <u>GROUP ART UNIT 2821</u> Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir: TRANSMITTED HEREW	TH IS	
A RESPONSE,	X AN AMENDMENT,	AN AMENDMENT AFTER FINAL
IN THE ABOVE-IDENTIFIED	APPLICATION.	
Small entity status	s of this application under	37 CFR §1.9(f) is established
by a verified state	ement under 37 CFR §1.27	previously submitted.
		submitted herewith.
X No additional fee:	is required, as shown below	

CLAIMS PENDING		CLAIMS		NEW CLAIMS EXTRA		ADDITIONAL FEES			
AFTER AMENDMENT		PREVIOUSLY PAID				SMALL ENTITY		OTHER THAN SMALL ENTITY	
TOTAL	30	MINUS	52		0	x\$9=	0.00	x\$18=	0.00
INDEP	1	MINUS	3	=	0	x43=	0.00	x\$86=	0.00
NEW MULTIPLE DEPENDENT CLAIMS 0				0	x\$145=	0.00	x\$290=	0.00	
AND CLAIMS DEPENDENT THEREON				0	x\$145=	0.00	x\$290=	0.00	
TOTAL ADDITIONAL FEE				0.00		0.00			

This amount is believed to be correct, however, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 19-2816. This authorization applies to both filing fees under 37 CFR §1.16 and processing fees (such as extension of time) under 37 CFR §1.17. A duplicate copy of this transmittal is attached.

Respectfully Submitted,

Ronald R. Snider

Registration No. 24,962

Date: October 26, 2004

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RRS/bam

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10/26/04 Atty Docket: SUG-169-USAP

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## PRELIMINARY AMENDMENT

US Patent & Trademark Office 2011 South Clark Place Customer Window, Attention Group Art Unit: 2821 Crystal Plaza Two, Lobby, Room 1B03 Arlington, VA 22202

Sir:

Please undertake the following amendments in the abovecaptioned application:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 13 of this paper.